

#3/a

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Robert S. Chau, et al.

Examiner: Guerrero, M.

U.S. Serial No: Pending

Art Unit: 2822

Filed: Herewith

For: Device Structure And Method
For Reducing Silicide
Encroachment

Which is a Divisional of Application

U.S. Serial No. 09/115,405

Filed: July 14, 1998

Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Dear Sir:

In the Divisional Application filed concurrently herewith, please enter
this amendment and consider the following remarks.

"Express Mail" mailing label number: EL143556853US

Date of Deposit: September 1, 2000

I hereby certify that I am causing this paper or fee to be deposited with the United
States Postal Service "Express Mail Post Office to Addressee" service on the
date indicated above and that this paper or fee has been addressed to the
Assistant Commissioner for Patents, Washington, D. C. 20231

Cindy Murphy

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

(Date signed)

Cindy Murphy
9/1/00

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FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$) 846.00

Complete if Known:

Application No. Pending

Filing Date Herewith

First Named Inventor Robert S. Chau

Group Art Unit Pending

Examiner Name Pending

Attorney Docket No. 42390.P4222D2

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name _____

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check
☒ Money Order

Other _____

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	690	201	345
106	310	206	155
107	480	207	240
108	690	208	345
114	150	214	75

Fee Description
Utility application filing fee
Design application filing fee
Plant filing fee
Reissue filing fee
Provisional application filing fee

Fee Paid
\$690.00

SUBTOTAL (1) \$ 690.00

2. Please enter the preliminary amendment prior to claim calculation.

EXTRA CLAIM FEES

Extra Claims

- 20** = 0
- 3** = 2

Fee from below

Fee Paid

X 78.00 = 156.00
X _____ = _____

Total Claims 12
Independent Claims 5
Multiple Dependent

**Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)
103	18	203	9
102	78	202	39
104	260	204	130
109	78	209	39
110	18	210	9

Fee Description
Claims in excess of 20
Independent claims in excess of 3
Multiple dependent claim, if not paid
**Reissue independent claims over original patent
**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 156.00

42390.P4222D2

EL143556853US

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

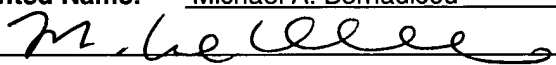
<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>F</u>	<u>F</u>	<u>F</u>	<u>Fe</u>		
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	380	216	190	Extension for response within second month	_____
117	870	217	435	Extension for response within third month	_____
118	1,360	218	680	Extension for response within fourth month	_____
128	1,850	228	925	Extension for response within fifth month	_____
119	300	219	150	Notice of Appeal	_____
120	300	220	150	Filing a brief in support of an appeal	_____
121	260	221	130	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,210	241	605	Petition to revive unintentionally abandoned application	_____
142	1,210	242	605	Utility issue fee (or reissue)	_____
143	430	243	215	Design issue fee	_____
144	580	244	290	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	240	126	240	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	690	246	345	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	690	249	345	For each additional invention to be examined (see 37 CFR 1.129(a))	_____
Other fee (specify) _____					_____
Other fee (specify) _____					_____

SUBTOTAL (3) \$0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Michael A. Bernadicou

Signature  Date 8/24/00

Reg. Number Reg. No. 35,934 Dep sit Acc unt User ID _____ (complete if applicable)

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